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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/921,944

Filing Date August 2, 2001

First Named Inventor Johnway Gao

Group Art Unit 1632

Examiner Name Unknown

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Total Number of Pages in This Submission

Attorney Docket Number E-1823-CIP

TECH CENTER 1600/2900

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

Return Receipt Postcard PTO-1449 w/copies of cited references

Remarks

NO FEE REQUIRED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jennifer J. Taylor, Ph.D.; Wells St. John P.S.

Signature

Jennifer J. Taylor

Date

September 5, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TECH CENTER 1600/2900

Application Serial No. 09/921,944
Filing Date August 2, 2001
Inventor Johnway Gao et al.
Assignee Battelle Memorial Institute
Group Art Unit 1632
Examiner Unknown
Attorney's Docket No. E-1823-CIP
Title: Isolated Yeast Promoter Sequence and a Method of Regulated Heterologous Expression

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References - - See attached Form PTO-1449

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art. The Examiner is requested to make these citations of official record in this application.

The attached U.S. and foreign patents were cited in the International Search Report dated June 19, 2002 received in the related PCT Application, PCT/US01/24476, and are now being submitted in this Supplemental Information Disclosure Statement. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

Citation of these references is respectfully requested.

Respectfully submitted,

Date: 9/5/2002

Jennifer J. Taylor
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Reg. No. 48,711